

**UTILITY PATENT APPLICATION
TRANSMITTAL UNDER 37 CFR 1.53(b)**

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To: Assistant Commissioner for Patents
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8/10/98

**RECEIVER HAVING HYDROPHILIC
RECEIVING SURFACE**

First Named Inventor (or Application Identifier):

Gustavo R. Paz-Pujalt, et al

Enclosed are:

1. ☒ Specification
2. ☐ 7 Sheet(s) of drawing(s)
3. ☒ Disclosure Statement Under 37 C.F.R. 1.97.
4. Combined Declaration for Patent Application and Power of Attorney:
 - 4a. ☒ New
 - 4b. ☐ Copy from a prior application (37 CFR 1.63(d) (for continuation/divisional with Box 11 completed)
5. ☐ Incorporation by Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☒ Assignment of the invention to **Eastman Kodak Company**
7. ☐ Certified copy of a priority
8. ☐ Associate Power of Attorney

9. ☐ Deletion of Inventor(s).

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

10. ☐ Prior to examination of the above-identified application, amend the specification at Page 1, after the title, by inserting the following:

--CROSS REFERENCE TO RELATED APPLICATION

Reference is made to and priority claimed from U.S. Provisional Application Serial No. , filed , entitled .

If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

11. ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. ,
12. ☒ Please address all written communications to Thomas H. Close, Patent Legal Staff, Eastman Kodak Company, 343 State Street, Rochester, NY 14650-2201. Please Direct all telephone calls to Raymond L. Owens at (716) 477-4653.

The filing fee has been calculated as shown below:

FOR:	NO. FILED	NO. EXTRA	OTHER THAN A SMALL ENTITY	
			RATE	FEE
BASIC FEE				\$ 790
TOTAL CLAIMS	12 - 20 =	0	x 22 =	\$ 0
INDEPENDENT CLAIMS	3 - 3 =	0	x 82 =	\$ 0
MULTIPLE DEPENDENT CLAIM PRESENTED			+ 270	\$0
			TOTAL	\$ 790

- ☒ Please charge my Eastman Kodak Company Deposit Account No. **05-0225** in the amount of **\$ 790**.

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- ☒ The Assistant Commissioner is hereby authorized to charge any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Eastman Kodak Company Deposit Account No. **05-0225**.

A duplicate copy of this sheet is enclosed.

Date

8/10/98

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ORIGINAL PATENT APPLICATION BASED ON:

Docket: 78137RLO

**Inventor(s): Gustavo R. Paz-Pujalt
David L. Patton
John R. Fredlund**

Attorney: Raymond L. Owens

RECEIVER HAVING HYDROPHILIC RECEIVING SURFACE

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RECEIVER HAVING HYDROPHILIC RECEIVING SURFACE

FIELD OF THE INVENTION

The present invention relates to providing a water-based colorant image on a receiver having an information image.

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BACKGROUND OF THE INVENTION

Heretofore images of high quality have been produced by thermal printers. In a typical thermal printer an image is formed in three passes. First a colorant patch having color such as yellow is placed in transfer relationship with a receiver and then the colorant patch is heated in a pattern corresponding to the
10 yellow portion of an image to be completed. Thereafter, cyan and magenta portions of the image are formed in a similar fashion. The completed color image on the receiver is continuous tone and in many cases can rival photographic quality.

In one type of thermal printer which prints colored images, a donor
15 contains a repeating series of spaced frames of different colored heat transferable colorants. Thermal colorant transfer printers offer the advantage of true "continuous tone" density transfer. This result is obtained by varying the energy applied to each heating element, yielding a variable density image pixel in the receiver. The donor is disposed between a receiver, such as coated paper, and a
20 print head formed of, for example, a plurality of individual heating resistors. When a particular heating resistor is energized, it produces heat and causes colorant from the donor to transfer to the receiver. The density or darkness of the printed color colorant is a function of the energy delivered from the heating element to the donor.

25 Under common circumstances after an image is printed, a protective layer of material is coated in order to prevent damage to the image. Commonly-assigned U.S. Patent No. 5,369,077 teaches that silicone block copolymers are added to the receiver and receiver overcoat to prevent sticking to the colorant patch. Though this effectively protects the image it hurts the ability to
30 affix information carried by a water soluble inks or pigments, for example a rubber stamp mark. Rubber or polymer stamp marks normally consist of water

soluble inks or pigments. Images produced using a thermal printing process provide a convenient method for creating images for use as identification, for example as passport and visa pictures and small pictures that are attached to school, job or club applications. When pictures are used for identification purposes, the pictures and the documents to which they are attached may require some type of official stamp. In most cases the stamp is an official seal made of rubber. The rubber stamp is used to apply the official seal to the document and picture. The marking medium is a water soluble ink or pigment that is readily absorbed by the material to which it is applied. In the case of thermal media during the printing process a protective transparent layer is coated that is water resistant thus making the adhesion of a rubber stamp impossible. Commonly-assigned U.S. Patent No. 5,614,464 teaches the addition of perfluorinated alkyl sulfonamide ester copolymers to improve receiver writeability. This coating on the other hand may help the adherence of water based inks or dyes.

SUMMARY OF THE INVENTION

It is an object of the present invention to produce a surface that will accept information carried in a water-based colorant as is the case of a rubber or polymer stamp.

The object is achieved by: a receiver for receiving a water-based colorant image transferred by a stamp or the like, comprising:

- (a) an image receiving structure having:
 - (i) a support;
 - (ii) an information receiving layer which contains recorded information, such information receiving layer being formed over the support; and
 - (iii) a clear hydrophobic protective layer formed over the information receiving layer; and
- (b) a hydrophilic layer formed over the information receiving layer and selected so as to be able to receive a water-based colorant image.

In a preferred embodiment of this invention the hydrophilic layer is provided by a gelatin or other material with similar surface properties formulated with the appropriate surfactants so that it can adhere to the clear hydrophobic protective layer.

5 In another embodiment of this invention the hydrophobic protective layer and the hydrophilic layer can be applied from patches on a donor element which also includes patches having colorants for forming the information image.

An advantage of the present invention is that the hydrophilic layer can be formed on a receiver which already has received an information image.

10 A feature of the invention is that the hydrophilic layer can readily receive water-based colorant images transferred from a rubber stamp.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a schematic block diagram of a thermal printing apparatus which makes dye images in a receiver in accordance with the present invention;

15 FIG. 2 is a cross-sectional view of a receiver which is used in the apparatus of FIG. 1;

FIG. 3 shows a strip of a typical colorant donor element in web format which can be used by the apparatus shown in FIG. 1;

20 FIG. 4 is a cross-sectional view of a receiver which is used in the apparatus of FIG. 1 showing a thermal printer coating assembly coating a receiver structure of the print;

FIG. 5 is a front view of a thermal printer with a coating assembly coating a receiver structure of the print;

FIG. 6 is a sectional view taken along the lines A-A of FIG. 5;

25 FIG. 7 shows a side view of a rubber stamp applying a stamp image on the surface of the finished print;

FIG. 8 shows an image that the rubber stamp of FIG. 7 has applied to on the surface of the finished print; and

30 FIG. 9 shows a strip of typical colorant donor element in web format having colorant patches, a clear hydrophobic patches and a clear hydrophilic patch.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

Referring to FIG. 1 where a thermal printer apparatus 10 employs receivers 12 and a colorant donor element 14 in the form of a web. Receivers 12, in the form of a sheet is serially fed from a tray 16 to a print position by a
5 conventional sheet feeding mechanism, not shown. The thermal printer apparatus 10 uses a colorant donor element 14 which typically employs a colorant that is a dye. Upon heating dye is transferred to a dye receiving element. However, pigments can also be used and the present invention is equally applicable when the printer apparatus is an ink jet printer. Because in any of these situations, a
10 hydrophilic image from a stamp or the like is transferred to a hydrophilic layer as will be described below.

Now referring to FIG. 2, the receiver 12 is comprised of an image receiving structure 50 which includes a support 56. The support 56 can be formed of paper or plastic such as polyethylene terephthalate or polyethylene
15 naphthlate. Alternatively, it can be in the form of a web. Upon each support 56 will be an image receiving layer 60 for receiving colorant from the colorant donor element 14 to form information images. When dye is the colorant a barrier layer 58 is provided to prevent the dye from bleeding into the support 56. In such a situation the image receiving layer 60 is formed on the barrier layer 58.

Referring back to FIG. 1, in operation, a platen 18 is moved into print position by an actuator 20 pressing the receiver 12 against the colorant donor element 14. Actuators are well known in the field and can be provided by a mechanical linkage, solenoid, and small piston arrangement or the like. The colorant donor element 14, shown in FIG. 3 as a web, includes a series of colorant
20 patches.. These colorant patches can be cyan, yellow, and magenta 64a, 64b, 64c, respectively, and they are sequentially moved into image transferring relationship with the colorant donor element 14. Each series of colorant patches 64a-c is followed by a protective coating patch 66 which is formed of a material that can form a clear hydrophobic protective layer 62.

The colorant donor element 14 is driven along a path from a supply roller 24 onto a take-up roller 26 by a drive mechanism 28 coupled to the take-up roller 26. The drive mechanism 28 includes a stepper motor which incrementally advances and stops the colorant donor element 14 relative to the receiver 12.

5 A control unit 30 having a microcomputer converts digital signals corresponding to the desired image from a computer 32 to analog signals and sends them as appropriate to the optical system 38 which modulates the laser beam produced by a laser light source 34. The laser light source 34 illuminates the colorant donor element 14 and heats such colorant donor element 14 to cause the
10 transfer of colorant to the image receiving layer 60 of the image receiving structure 50. This process is repeated until an information image is formed on each of the image receiving structures 50. Alternatively, a plurality of donor resistive elements (not shown) which are in contact with the colorant donor element 14. When a donor resistive element is energized it is heated which causes
15 colorant to transfer from the colorant donor element 14 to the receiver 12 in a pattern to provide an information image. For a more complete description of this type of thermal printing apparatus reference is made to commonly assigned U.S. Patent No. RE 33,260. Of course the process has to be repeated using the cyan, yellow and magenta patches 64a-c to complete the information image. An
20 additional pass consists of transferring a clear hydrophobic protective layer 62.

Now referring to FIG. 4, the image receiving layer 60 of the image receiving structure 50 has received an information image by the transference of the colorant donor element 14 using the thermal printer apparatus 10. After the colorant donor element 14 has formed the information image, the clear
25 hydrophobic protective layer 62 is transferred using the thermal printer apparatus 10. As the image receiving structure 50, exits the thermal printing apparatus 10 in the direction indicated by arrow 69 a hydrophilic mixture 70 such as a layer consisting of gelatin or other material with similar surface properties formulated with the appropriate surfactants such as Alkanol XC, Triton 200 or Aerosol OT is
30 applied to the clear hydrophobic protective layer 62 via an applicator assembly 72 comprised of a reservoir 74, an applicator 76 and a drive mechanism 78 to form a

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hydrophilic coating 80. The applicator 76 can also apply other materials such as the synthetic polymers to provide the clear hydrophilic layer 80 which are selected from the group consisting of polyvinylpyrrolidone (PVP), polyester ionomers, polyethylene oxide and copolymers of vinyl alcohol.

5 Now referring to FIGS. 5 and 6 which show portions of a thermal printer 82 is equipped with an applicator assembly 72. As the thermal print 84 exits the thermal printer 82 a hydrophilic mixture 70 including gelatin or other material with similar surface properties formulated with the appropriate surfactants is applied to the thermal print 84 via an applicator 76 and drive mechanism 78. A drive mechanism (not shown) for the thermal printer 82 is used in conjunction with the drive mechanism 78 (see FIG. 4) to drive the thermal print 84 through the applicator 76.

10 Alternatively, a hydrophilic mixture 70 including gelatin or other material with similar surface properties formulated with the appropriate surfactants is applied to the clear hydrophobic protective layer 62 via an aerosol sprayer to form a hydrophilic coating 80.

15 Still further in another embodiment, a hydrophilic mixture 70 including gelatin or other material with similar surface properties formulated with the appropriate surfactants is applied to the clear hydrophobic protective layer 62 via an roller to form a hydrophilic coating 80.

20 Now referring to FIG. 7, a thermal print 84 is shown in a side view. A hydrophilic coating 80 has been applied to the clear hydrophobic protective layer 62 of the thermal print 84. A rubber stamp 88 is used to apply an official seal 92 to the thermal print 84 as shown in FIG. 8 having an information image 90. The colorants applied by the rubber or polymer stamp 88 should of course be hydrophilic and they can be for example, dyes or pigments. The inks containing these colorants are well known in the art and are described in U.S. Patent Nos. 5,672,198 and 4,469,464.

25 In another embodiment the colorant donor element 14, shown in FIG. 9 as a web, includes a series of colorant patches 64a-c. These colorant patches can be cyan, yellow, and magenta 64a, 64b, 64c, respectively, and they are

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The invention has been described in detail with particular reference to certain preferred embodiments thereof, but it will be understood that variations and modifications can be effected within the spirit and scope of the invention.

PARTS LIST

10	printer apparatus
12	receiver
14	colorant donor element
16	tray
18	platen
20	actuator
24	supply roller
26	take-up roller
28	drive mechanism
30	control unit
32	computer
34	laser light source
38	optical system
50	image receiving structure
56	support
60	image receiving layer
62	hydrophobic protective layer
64a	cyan patch
64b	yellow patch
64c	magenta patch
66	protective coating patch
69	arrow
70	hydrophilic mixture
72	applicator assembly
74	reservoir
76	applicator
78	drive mechanism
80	hydrophilic coating

Parts List cont'd

82	thermal printer
84	thermal print
88	rubber stamp
90	information image
110	hydrophilic patch

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WHAT IS CLAIMED IS:

1. A receiver for receiving a water-based colorant image transferred by a stamp or the like, comprising:
 - (a) an image receiving structure having:
 - (i) a support;
 - (ii) an information receiving layer which contains recorded information, such information receiving layer being formed over the support; and
 - (iii) a clear hydrophobic protective layer formed over the information receiving layer; and
 - (b) a hydrophilic layer formed over the information receiving layer and selected so as to be able to receive a water-based colorant image.
2. A receiver for receiving a water-based colorant image transferred by a stamp or the like, comprising:
 - (a) an image receiving structure having:
 - (i) a support;
 - (ii) a barrier layer formed over the support;
 - (iii) an information receiving layer which contains recorded information, such information receiving layer being formed over the barrier layer; and
 - (iv) a clear hydrophobic protective layer formed over the information receiving layer; and
 - (b) a hydrophilic layer formed over the information receiving layer and selected so as to be able to receive a water-based colorant image.
3. A method for forming a receiver which is adapted to receive a water-based colorant image transferred by a stamp or the like, comprising:
 - (a) providing an image receiving structure having:
 - (i) a support; and;
 - (ii) an information receiving layer which is adapted to receive recorded information, such information receiving layer being formed over the support; and

- (b) forming information in the information receiving layer;
- (c) forming a clear hydrophobic protective layer over the information receiving layer;
- (d) forming a hydrophilic layer over the information receiving layer and selected so as to be able to receive a water-based colorant image; and
- (e) forming a water-based colorant image in the hydrophilic layer.

4. The method according to claim 3 wherein the water-based colorant is selected from the group consisting of water based ink and water-based pigment.

5. The method according to claim 3 wherein the hydrophilic layer includes gelatin formulated with surfactants.

6. The method according to claim 3 wherein the hydrophilic layer is selected from the group consisting of polyvinylpyrrolidone (PVP), polyester ionomers, polyethylene oxide and copolymers of vinyl alcohol.

7. The method according to claim 3 wherein the hydrophilic layer is formed by the steps of:

- (i) moving a colorant donor element having a plurality of transferable colorant patches and a patch having hydrophilic material into transferable relationship with the receiver;
- (ii) transferring colorants from colorant patches to the image receiving layer; and
- (iii) transferring hydrophilic material from the hydrophilic patch.

8. The method according to claim 7 wherein the colorant patches in the donor are cyan, magenta and yellow which are applied sequentially applied to the image receiving layer.

9. The method according to claim 3 further including the steps of:

- (i) moving a colorant donor element having a plurality of transferable colorants in colorant patches , a protective patch and a hydrophilic

patch into transferable relationship with the receiver;

(ii) transferring colorants from the colorant patches to the image receiving layer;

(iii) transferring material from the protective patch to form a protective layer; and

(iv) transferring a hydrophilic material from the hydrophilic patch onto the image receiving layer to form the clear hydrophobic layer on the image receiving layer.

10. The method according to claim 9 wherein the water-based colorant is selected from the group consisting of water based ink and water-based pigment.

11. The method according to claim 9 wherein the hydrophilic layer includes gelatin formulated with surfactants.

12. The method according to claim 9 wherein the hydrophilic layer is selected from the group consisting of polyvinylpyrrolidone (PVP), polyester ionomers, polyethylene oxide and copolymers of vinyl alcohol.

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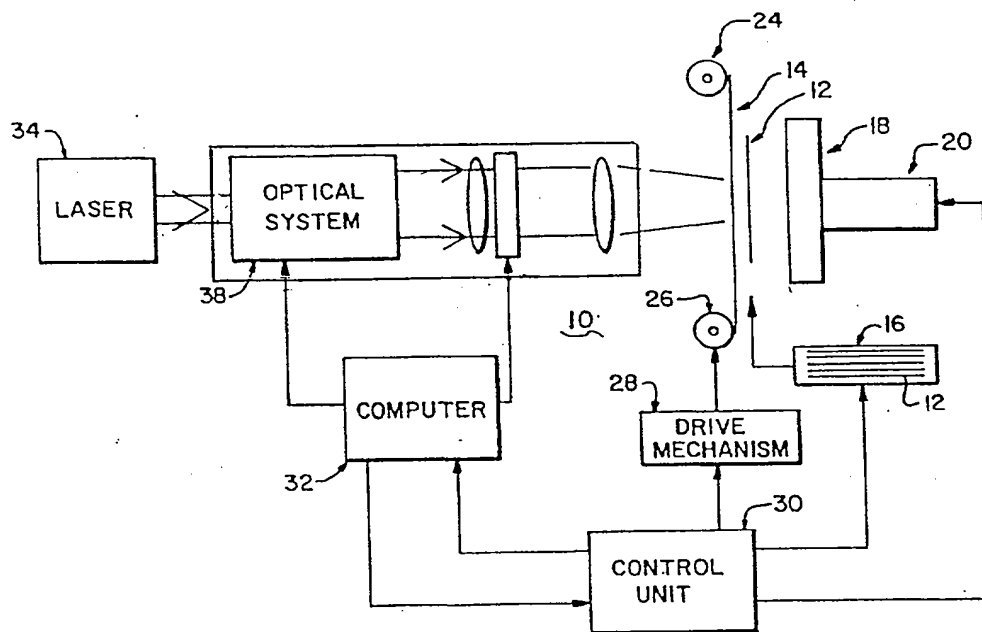


FIG. 1

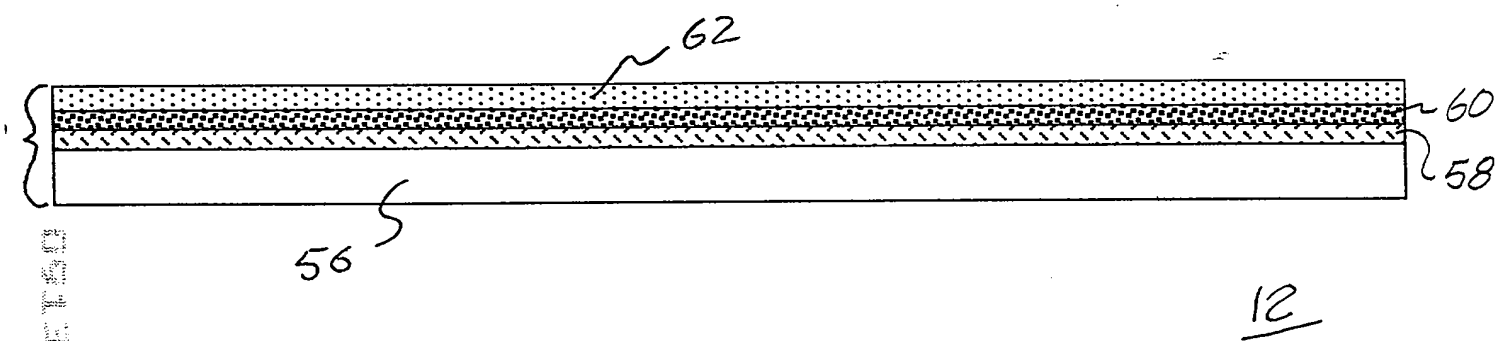


FIG. 2

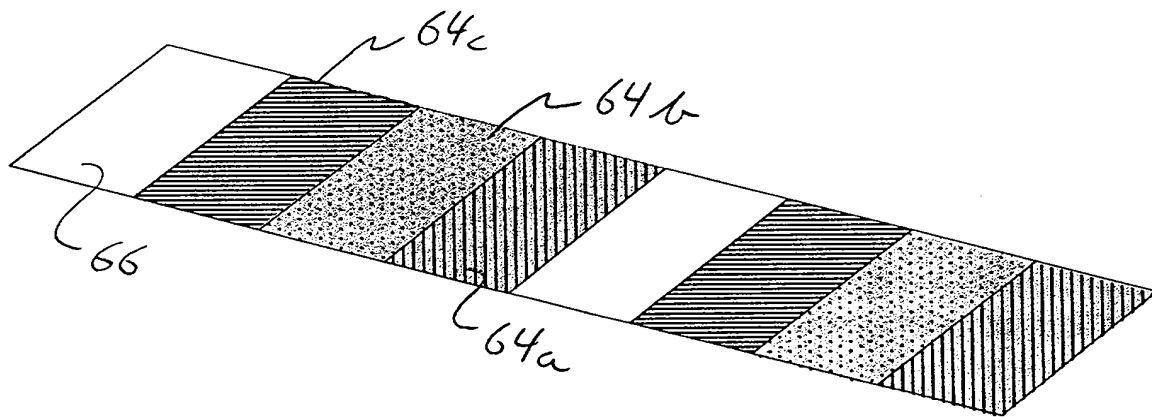


FIG. 3

14

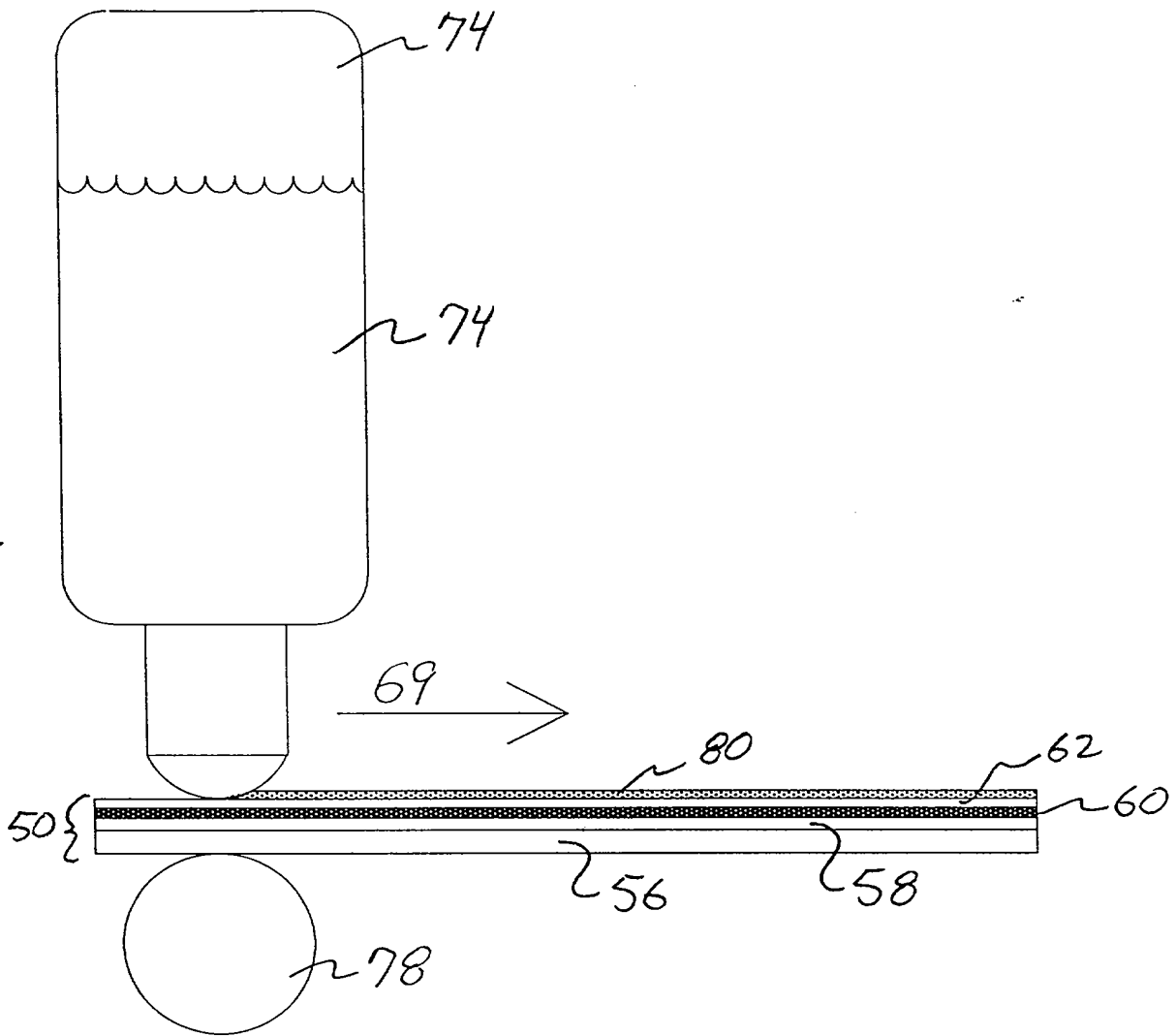


FIG. 4

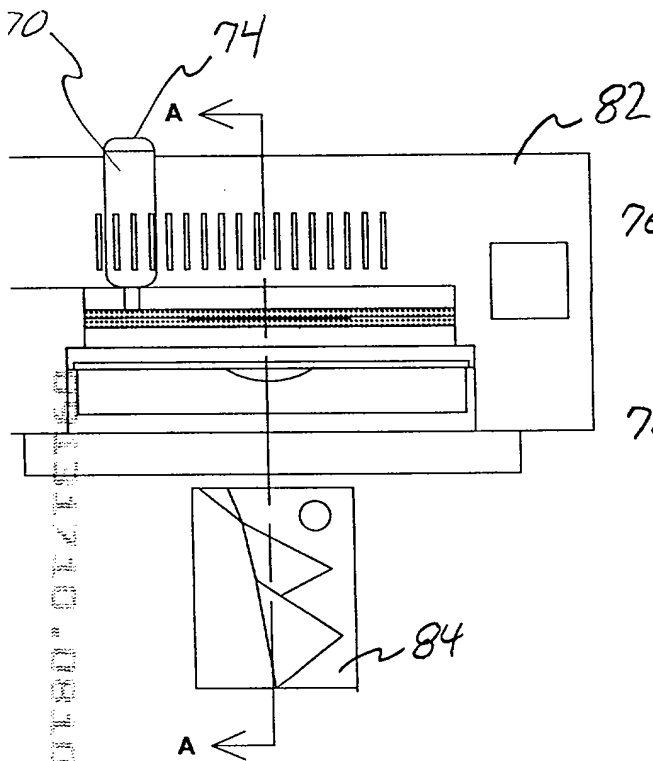


FIG. 5

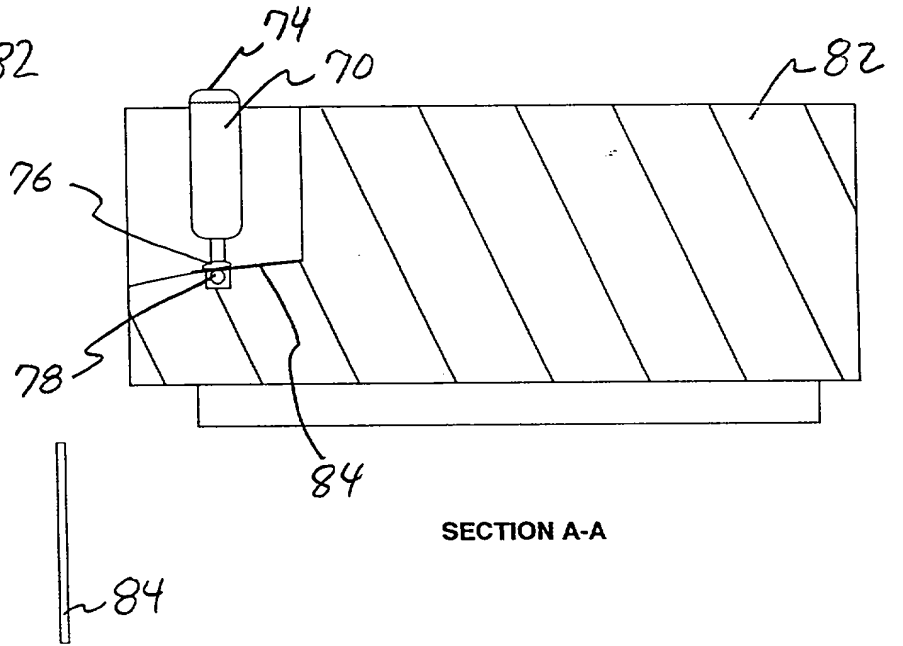


FIG. 6

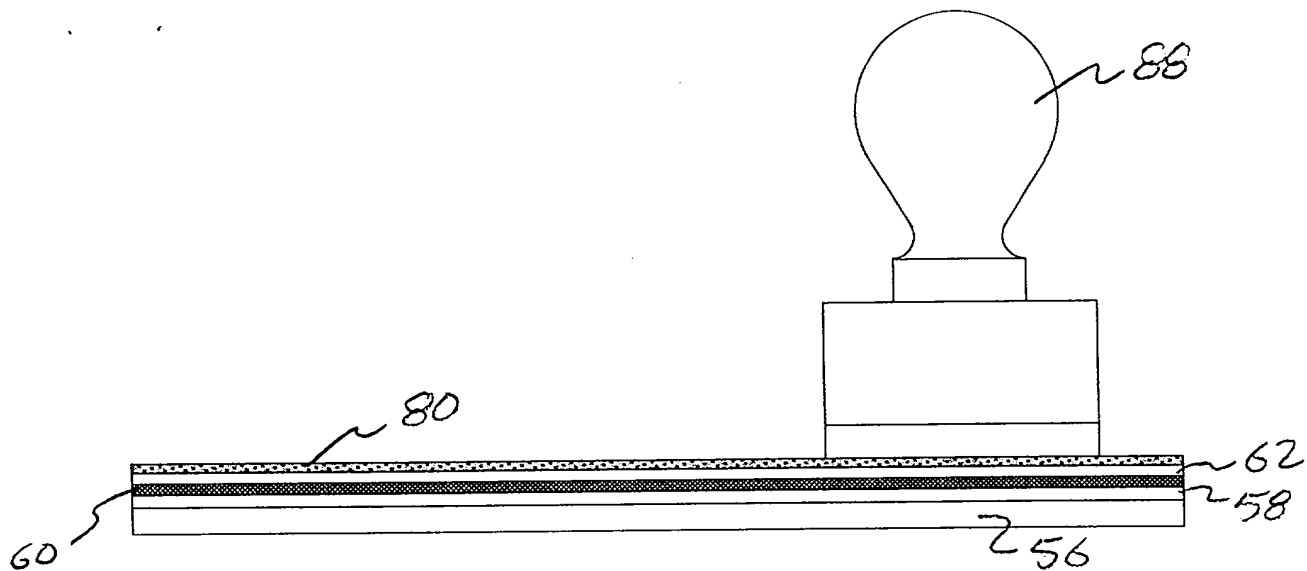


FIG. 7

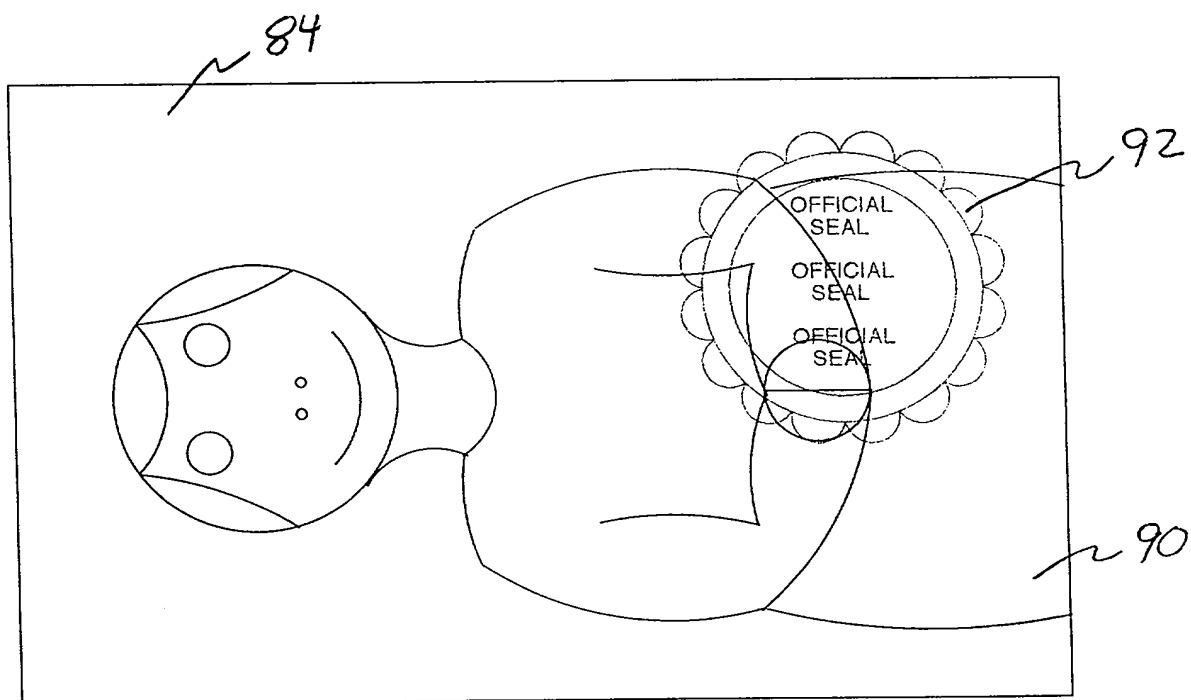


FIG. 8

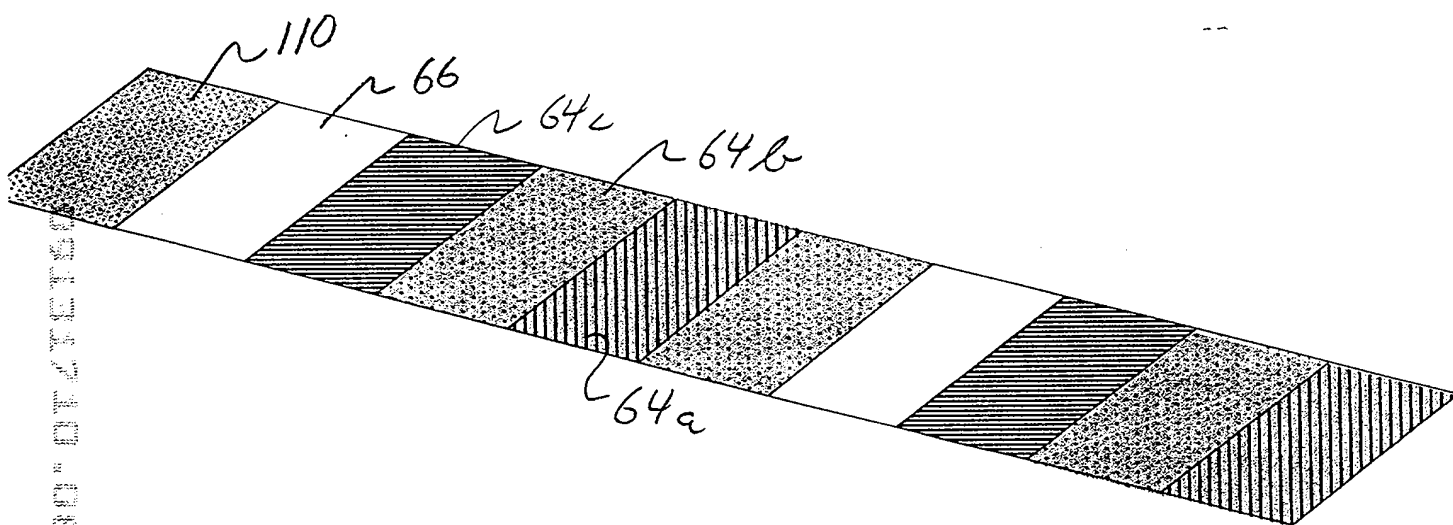


FIG. 9

Combined Declaration For Patent Application and Power of Attorney

ATTORNEY DOCKET
78137RLO

As below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

RECEIVER HAVING HYDROPHILIC RECEIVING SURFACE

The specification of which (check only one item below):

☒ is attached hereto.☐ was filed as United States Application Serial No. on and
was amended on (if applicable).☐ was filed as PCT international application Number on and was amended under PCT Article 19 on (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the U.S. Patent & Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign applications(s) for patent or inventor's certificate or any PCT international application(s) designating a least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

COUNTRY (if PCT, indicate PCT)	APPLICATION NUMBER	DATE OF FILING (day month year)	PRIORITY CLAIMED UNDER 35 USC §119			
				YES		NO
				YES		NO
				YES		NO

I hereby claim the benefit under Title 35, United States Code, 119 §(e) of any United States provisional application(s) listed below:

PRIOR PROVISIONAL APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. §119 (e):

PROVISIONAL APPLICATION NUMBER	FILING DATE

I hereby claim the benefit under Title 35, United States Code, §120 of any prior United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior applications(s) in the manner provided by the first paragraph of Title 35, §112, I acknowledge the duty to disclose to the U.S. Patent & Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations §1.56, which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

PRIOR US APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S FOR BENEFIT UNDER 35 USC §120:

U.S. APPLICATIONS			STATUS (Check one)		
U.S. APPLICATION NUMBER	U.S. FILING DATE		PATENTED	PENDING	ABANDONED
PCT APPLICATIONS DESIGNATING THE U.S.					
PCT APPLICATION NO.	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)			

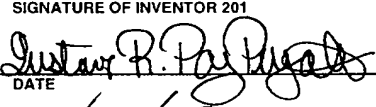
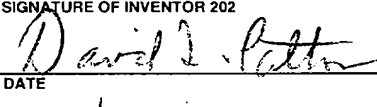
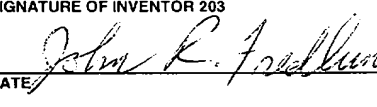
POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (*List name and registration number*)

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2 0 4	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	BUSINESS ADDRESS	BUSINESS ADDRESS	CITY	STATE & ZIP CODE (COUNTRY)
2 0 5	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	BUSINESS ADDRESS	BUSINESS ADDRESS	CITY	STATE & ZIP CODE (COUNTRY)
2 0 6	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	BUSINESS ADDRESS	BUSINESS ADDRESS	CITY	STATE & ZIP CODE (COUNTRY)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201  DATE 08/06/98	SIGNATURE OF INVENTOR 202  DATE 07/23/98	SIGNATURE OF INVENTOR 203  DATE 7-9-98
SIGNATURE OF INVENTOR 204 DATE	SIGNATURE OF INVENTOR 205 DATE	SIGNATURE OF INVENTOR 206 DATE